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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

RICKY COCHRAN, ALAIN BERREBI, and
JARAMEY STOBBE, individually and on
behalf of all others similarly situated,

Plaintiffs,

v.

THE KROGER CO. and ACCELLION, INC.,

Defendants.

Case No. 5:21-cv-01887-EJD

Hon. Edward J. Davila

**~~[PROPOSED]~~ ORDER GRANTING
PRELIMINARY APPROVAL OF
CLASS ACTION SETTLEMENT**

Case No. 5:21-cv-01887-EJD

[PROPOSED] ORDER GRANTING PRELIMINARY APPROVAL OF SETTLEMENT

ORDER GRANTING PRELIMINARY APPROVAL OF SETTLEMENT

WHEREAS, the Plaintiffs and Defendant The Kroger Co. (“Kroger”) (together, the “Parties”) in the above-described class action (“Action”) have applied for an order, pursuant to Rule 23(e) of the Federal Rules of Civil Procedure, regarding certain matters in connection with a proposed settlement of the Action, in accordance with a Class Action Settlement Agreement and Release (the “Settlement” or Settlement Agreement”) entered into by the Parties as of June 19, 2021 (which, together with its exhibits, is incorporated herein by reference) and dismissing the Action with respect to Kroger only upon the terms and conditions set forth in the Settlement Agreement;

WHEREAS, all defined terms used in this Order have the same meanings as set forth in the Settlement;

WHEREAS, Class Counsel have conducted an extensive investigation into the facts and law relating to the matters alleged in the Action;

WHEREAS, on May 13, 2021, after considerable meet and confer, the Parties engaged in an all-day mediation session before the Honorable Jay C. Gandhi (Ret.) of JAMS that resulted in an agreement in principle to settle the claims in this Action against Kroger as a nationwide class action settlement;

WHEREAS, following the mediation session with Judge Gandhi, and weeks of subsequent arm’s length negotiations, the Parties were able to finalize all of the terms of this Settlement; and

WHEREAS, the Court has carefully reviewed the Settlement Agreement, including the exhibits attached thereto and all files, records, and prior proceedings to date in this matter, and good cause appearing based on the record.

NOW THEREFORE, IT IS HEREBY ORDERED that:

The Settlement, including the exhibits attached thereto, are preliminarily approved as fair, reasonable, and adequate, in accordance with Rule 23(e) of the Federal Rules of Civil Procedure, pending a final hearing on the Settlement as provided herein.

1 1. Stay of the Action. Pending the Fairness Hearing, all proceedings in the Action
2 as they relate to Kroger, other than proceedings necessary to carry out or enforce the terms and
3 conditions of the Settlement and this Order, are hereby stayed.

4 2. Certification for Settlement Purposes Only. Solely for purposes of effectuating
5 the proposed Settlement, the Court finds, pursuant to Rule 23(e)(1), that the prerequisites for
6 class certification under Rules 23(a) and 23(b)(3) of the Federal Rules of Civil Procedure are
7 likely to be found to be satisfied as: (a) the members of the Settlement Class are so numerous
8 that joinder of all Settlement Class Members in this Action is impracticable, (b) there are
9 questions of law and fact that are common to the Settlement Class; (c) the Plaintiffs' claims are
10 typical of the claims of the Settlement Class; (d) the interests of all Settlement Class Members
11 are adequately represented by the Plaintiffs and Class Counsel; (e) the questions of law and fact
12 common to Settlement Class Members predominate over any individualized questions of law
13 and fact; and (f) a class action is superior to other available methods for the fair and efficient
14 adjudication of the controversy. These findings shall be vacated if the Settlement is terminated
15 or if for any reason the Effective Date does not occur.

16 3. Class Definition. The Settlement Agreement defines the Settlement Class as: all
17 residents of the United States who were notified by The Kroger Co. that their PII was
18 compromised as a result of the FTA Data Breach. Excluded from the Settlement Class are: (1)
19 the Judges presiding over the Action, and members of their families; (2) the Defendant Kroger,
20 their subsidiaries, parent companies, successors, predecessors, any entity in which the Defendant
21 Kroger or their parents have a controlling interest, and their current or former officers and
22 directors; (3) Persons who properly execute and submit a Request for Exclusion prior to the
23 expiration of the Opt-Out Period, i.e., within 75 days of the Notice Date; and (4) the successors
24 or assigns of any such excluded Persons.

25 4. Class Representatives. For purposes of the Settlement only, the Court finds and
26 determines, pursuant to Rule 23(a) of the Federal Rules of Civil Procedure, that Class
27 Representatives Plaintiffs Ricky Cochran, Alain Berrebi and Jaramey Stobbe will fairly and
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1 adequately represent the interests of the Class in enforcing their rights in the Action and appoints
2 them as Class Representatives. The Court preliminarily finds that they are similarly situated to
3 absent Class Members and therefore typical of the Class, and that they will be adequate Class
4 Representatives.

5 5. Class Counsel. For purposes of the Settlement, the Court appoints Tina Wolfson,
6 Robert Ahdoot, and Andrew Ferich of Ahdoot & Wolfson, PC and Ben Barnow and Anthony
7 Parkhill of Barnow and Associates, P.C. as Class Counsel to act on behalf of the Class and the
8 Class Representatives with respect to the Settlement. The Court authorizes Class Counsel to
9 enter into the Settlement on behalf of the Class Representatives and the Class, and to bind them
10 all to the duties and obligations contained therein, subject to final approval by the Court of the
11 Settlement.

12 6. Administration. The firm of Epiq Class Action and Claims Solutions, Inc. is
13 appointed as Settlement Administrator to administer the notice procedure and the processing of
14 claims, under the supervision of Class Counsel.

15 7. Class Notice. The Court (a) approves, as to form and content, the proposed Claim
16 Form for Kroger FTA Data Breach Benefits (“Claim Form”), Notice of Kroger FTA Data Breach
17 Class Action Settlement (“Long Form Notice”), and summary notice of the proposed Settlement
18 (“Summary Notice”) submitted by the Parties as Exhibits A, C, and E, respectively, to the
19 Settlement Agreement, and (b) finds and determines that mailing the Summary Notice, reminder
20 emails to Class Members (if available), and publication of the Settlement Agreement, Long
21 Form Notice, Summary Notice, and Claim Form on the Settlement Website, supplemented by
22 any Internet banner advertisements deemed appropriate by the Parties and posting information
23 about the Settlement on Kroger’s intranet called “The Feed,” (i) constitutes the best notice
24 practicable under the circumstances; (ii) constitutes notice that is reasonably calculated, under
25 the circumstances, to apprise Class Members of the pendency of the Action, their right to submit
26 a Claim (if applicable), their right to exclude themselves from the Settlement Class, the effect
27 of the proposed Settlement (including the Releases to be provided thereunder), Class Counsel’s
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1 motion for an award of attorneys' fees and expenses and for Service Awards, their right to object
2 to the Settlement, and their right to appear at the Final Fairness Hearing; (iii) constitutes due,
3 adequate, and sufficient notice to all Persons entitled to receive notice of the proposed
4 Settlement; and (iv) satisfies the requirements of Rule 23 of the Federal Rules of Civil
5 Procedure, the Constitution of the United States (including the Due Process Clause), and all
6 other applicable laws and rules.

7 The Court further finds that all of the notices are written in simple terminology, and are
8 readily understandable by Class Members. The date and time of the Final Fairness Hearing shall
9 be included in all notices before they are disseminated. The Parties, by agreement, may revise
10 the notices in ways that are appropriate to update those notices for purposes of accuracy and
11 clarity, and may adjust the layout of those notices for efficient electronic presentation and
12 mailing. No Class Member shall be relieved from the terms of the proposed Settlement,
13 including the Releases provided for therein, based solely upon the contention that such Class
14 Member failed to receive adequate or actual notice.

15 8. Settlement Class List. The Court orders Kroger to provide the Settlement
16 Administrator a list generated by Kroger containing the last known name, email (if available),
17 and physical mailing address for persons that fall under the definition of the Settlement Class
18 (as defined above) within seven (7) days of the entry of this Order. The Settlement Administrator
19 is directed to maintain the personally identifiable information that comprises the Settlement
20 Class List in securely and confidentially and to use the Settlement Class List solely for purposes
21 of effecting the Settlement.

22 9. Notice Date. The Court directs that the Settlement Administrator cause a copy of
23 the Summary Notice be mailed to all members of the Class who have been identified by Kroger
24 through its records. The mailing is to be made via e-mail or by first class United States mail,
25 postage prepaid, no later than the Notice Date, i.e., within thirty (30) calendar days of entry of
26 this Order. Direct notice shall be substantially completed within twenty-one (21) calendar days
27 of the Notice Date. Contemporaneously with the mailing, the Settlement Administrator shall
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1 cause copies of the Settlement Agreement, Long Form Notice, Summary Notice, and Claim
2 Form, in forms available for download, to be posted on a website developed for the Settlement
3 (“Settlement Website”).

4 10. Deadline to Submit Claim Forms. Class Members will have ninety (90) calendar
5 days from the Notice Date to submit their Claim Forms (“Claims Deadline”), which is due,
6 adequate, and sufficient time. By submitting a Claim, a person or entity shall be deemed to have
7 submitted to the jurisdiction of the Court with respect to his or her Claim and the subject matter
8 of the Settlement.

9 11. Exclusion from Class. Any person falling within the definition of the Class may,
10 upon request, be excluded or “opt out” from the Class. Any such person who desires to request
11 exclusion from the Class must submit a fully-completed Request For Exclusion. To be valid, the
12 Request for Exclusion must be (i) submitted electronically on the Settlement Website, or (ii)
13 postmarked or received by the Settlement Administrator on or before the end of the Opt-Out
14 Period. In the event the Settlement Class Members submit a Request for Exclusion to the
15 Settlement Administrator via US Mail, such Request for Exclusion must be in writing and must
16 identify the case name *Cochran vs. The Kroger Co.*, Case No. 5:21-cv-01887 (N.D. Cal.); state
17 the name, address and telephone number of the Settlement Class Members seeking exclusion;
18 be physically signed by the Person(s) seeking exclusion; and must also contain a statement to
19 the effect that “I/We hereby request to be excluded from the proposed Settlement Class in
20 *Cochran vs. The Kroger Co.*, U.S.D.C. Case No. 5:21-cv-01887 (N.D. Cal.).” Any Person who
21 elects to request exclusion from the Settlement Class shall not (i) be bound by any orders or
22 Judgment entered in the Action, (ii) be entitled to relief under this Agreement, (iii) gain any
23 rights by virtue of this Agreement, or (iv) be entitled to object to any aspect of this Agreement.
24 No Person may request to be excluded from the Settlement Class through “mass” or “class” opt-
25 outs.

26 12. Final Fairness Hearing. A hearing will be held by this Court in the Courtroom of
27 The Honorable Edward J. Davila, United States District Court for the District of the Northern
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District of California (San Jose Division), Robert F. Peckham Federal Building, 280 South 1st Street, Courtroom 4 – 5th Floor, San Jose, California 95113 at _____.m. on _____, 2021 (“Fairness Hearing”), to determine: (a) whether the Settlement should be approved as fair, reasonable, and adequate to the Class; (b) whether the Final Approval Order should be entered in substance materially the same as Exhibit B to the Settlement Agreement; (c) whether the Class Representatives’ proposed Settlement Benefits as described IV.E. of the Settlement Agreement should be approved as fair, reasonable, and adequate to the Class; (d) whether to approve the application for Service Awards for the Class Representatives or an award of attorneys’ fees and litigation expenses; and (e) any other matters that may properly be brought before the Court in connection with the Settlement. The Fairness Hearing is subject to continuation or adjournment by the Court without further notice to the Class. The Court may approve the Settlement with such modifications as the Parties may agree to, if appropriate, without further notice to the Class.

13. Objections and Appearances. Any Class Member may enter an appearance in the Action, at their own expense, individually or through counsel of their own choice. If a Class Member does not enter an appearance, they will be represented by Class Counsel. Any Class Member who wishes to object to the Settlement, the Settlement Benefits, Service Awards, and/or the Fee Award and Costs, or to appear at the Fairness Hearing and show cause, if any, for why the Settlement should not be approved as fair, reasonable, and adequate to the Class, why a final judgment should not be entered thereon, why the Settlement Benefits should not be approved, or why the Service Awards and/or the Fee Award and Costs should not be granted, may do so, but must proceed as set forth in this paragraph. No Class Member or other person will be heard on such matters unless they have filed in this Action the objection, together with any briefs, papers, statements, or other materials the Class Member or other person wishes the Court to consider, within seventy-five (75) calendar days following the Notice Date. All written objections and supporting papers must (a) clearly identify the case name and number (*Cochran et al. v. The Kroger Co.*, Case No. 5:21-cv-01887-EJD); (b) state the Class Member’s full name, current mailing address, and telephone number; (c) contain a signed statement by the Class

1 Member that he or she believes he or she is a member of the Settlement Class; (d) identify the
2 specific grounds for the objection; (e) include all documents or writings that the Class Member
3 desires the Court to consider; (f) contain a statement regarding whether the Class Member (or
4 counsel of his or her choosing) intends to appear at the Fairness Hearing; (g) be submitted to the
5 Court either by mailing them to the Class Action Clerk, United States District Court for the
6 Northern District of California (San Jose Division), Robert F. Peckham Federal Building, 280
7 South 1st Street, San Jose, California 95113, or by filing them in person at any location of the
8 United States District Court for the Northern District of California; and (h) be filed or
9 postmarked on or before _____, 2021. Any Class Member who does not make their
10 objections in the manner and by the date set forth in this paragraph shall be deemed to have
11 waived any objections and shall be forever barred from raising such objections in this or any
12 other action or proceeding, absent further order of the Court.

13 14. Claimants. Class Members who have been identified from Kroger's records and
14 who submit within ninety (90) days of the Notice Date a valid Claim Form approved by the
15 Settlement Administrator may qualify to receive a Cash Fund Payment, or Credit Monitoring
16 and Insurance Services, or a Documented Loss Payment. Any such Class Member who does not
17 submit a timely Claim Form in accordance with this Order shall not be entitled to receive a Cash
18 Fund Payment, or Credit Monitoring and Insurance Services, or a Documented Loss Payment,
19 but shall nevertheless be bound by any final judgment entered by the Court. Class Counsel shall
20 have the discretion, but not the obligation, to accept late-submitted claims for processing by the
21 Settlement Administrator, so long as distribution of the Net Settlement Fund to Authorized
22 Claimants is not materially delayed thereby. No person shall have any claim against Class
23 Counsel or the Settlement Administrator by reason of the decision to exercise discretion whether
24 to accept late-submitted claims.

25 15. Release. Upon the entry of the Court's order for final judgment after the Fairness
26 Hearing, the Class Representatives and all Class Members, whether or not they have filed a
27 Claim Form within the time provided, shall be permanently enjoined and barred from asserting
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1 any claims (except through the Claim Form procedures) against Defendant Kroger and the
2 Released Parties arising from the Released Claims, and the Class Representatives and all Class
3 Members conclusively shall be deemed to have fully, finally, and forever released any and all
4 such Released Claims.

5 16. Funds Held by Settlement Administrator. All funds held by the Settlement
6 Administrator shall be deemed and considered to be *in custodia legis* of the Court and shall
7 remain subject to the jurisdiction of the Court until such time as the funds are distributed
8 pursuant to the Settlement or further order of the Court.

9 17. Motion for Attorneys' Fees, Costs, and Service Awards; Final Approval Motion;
10 Response to Objection. At least thirty-five (35) days before the Objection Deadline, Class
11 Counsel must file a motion for attorneys' fees, costs, and Service Awards. No later than fourteen
12 (14) days after the Objection Deadline, Class Counsel must file the motion, supporting brief,
13 and supporting documents in support of a request for final approval of the Settlement and the
14 Settlement Benefits, and response(s) to any Objection to the Settlement. Any reply papers must
15 be filed and served no later than seven (7) calendar days prior to the Fairness Hearing.

16 18. Reasonable Procedures. Class Counsel and Defense Counsel are hereby
17 authorized to use all reasonable procedures in connection with approval and administration of
18 the Settlement that are not materially inconsistent with this Order or the Stipulation of
19 Settlement, including making, without further approval of the Court, minor changes to the form
20 or content of the Long Form Notice, Summary Notice, and other exhibits that they jointly agree
21 are reasonable or necessary.


22 19. Extension of Deadlines. Upon application of the Parties and good cause shown,
23 the deadlines set forth in this Order may be extended by order of the Court, without further
24 notice to the Class. Class Members must check the Settlement Website
25 (www.KrogerFTADataBreachSettlement.com) regularly for updates and further details
26 regarding extensions of these deadlines. The Court reserves the right to adjourn or continue the
27 Fairness Hearing, and/or to extend the deadlines set forth in this Order, without further notice of
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1 any kind to the Class.

2 20. If Effective Date Does Not Occur. In the event that the Effective Date does not
3 occur, certification shall be automatically vacated and this Preliminary Approval, and all other
4 orders entered and releases delivered in connection herewith, shall be vacated and shall become
5 null and void.

6 **IT IS SO ORDERED:**

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8 Date: November 5, 2021

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10 HONORABLE EDWARD J. DAVILA
11 UNITED STATES DISTRICT JUDGE
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13 The final fairness hearing is set for January 13, 2022 at 10:00 AM. Plaintiffs shall file their
14 motion for final approval of the class action settlement no later than December 30, 2021.
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